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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
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| 09/086,327 | 05/28/1998 | PHILIPPE L. DURETTE | 19965Y | 8099 |

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EXAMINER

LUKTON, DAVID

ART UNIT

PAPER NUMBER

1653

DATE MAILED: 06/13/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/086,327

Applicant(s)

DURETTE ET AL.

Examiner

David Lukton

Art Unit

1653

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 17 April 2003.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 6-8, 11-16 and 20-23 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 6-8, 11-16 and 20-23 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on _____ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) _____.
- 4) ☐ Interview Summary (PTO-413) Paper No(s). _____.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: _____.

Pursuant to the directives of paper No. 26 (filed 4/17/03), claims 12, 14 and 21 have been amended. Claims 6-8, 11-16, 20-23 remain pending.

Applicants' arguments filed 4/17/03 have been considered and found persuasive.

The previously imposed rejections are withdrawn.

*

Claim 21 is provisionally rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claim 5 of copending application Serial No. 09/713188. In addition, claims 23 and 20 are rejected as unpatentable over claims 21 and 20, respectively, of the '188 application. Although the conflicting claims are not identical, they are not patentably distinct from each other. The first compound recited in claim 23 of the instant application is identical to the first compound recited in claim 21 of the '188 application. Thus, there is clearly overlap between claim 23 of the instant application and claim 21 of the '188 application. In addition, claim 23 of the instant application is subgeneric to claim 21 of the instant application and claim 21 of the '188 application is subgeneric to claim 5 of the copending application. Thus, for this specific compound (as well as other compounds), there is overlap of the claimed genera. Claim 20 of both applications is drawn to a pharmaceutical composition; instant claim 20 is dependent on claim 21, and claim 20 of the copending application is dependent on claim 5. Since there is overlap between claim 21 of the instant application, and claim 5 of the

copending application, the same must be true for the composition claims dependent thereon.

The obviousness-type double patenting rejection is a judicially established doctrine based upon public policy and is primarily intended to prevent prolongation of the patent term by prohibiting claims in a second patent not patentably distinct from claims in a first patent. In re Vogel, 164 USPQ 619 (CCPA 1970). A timely filed terminal disclaimer in compliance with 37 CFR 1.321(b) would overcome an actual or provisional rejection on this ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 CFR 1.78(d)

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The following is a quotation of the first paragraph of 35 U.S.C. §112:

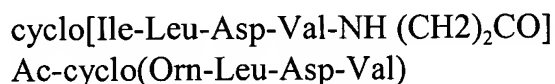
The specification shall contain a written description of the invention, and of the manner and process of making and using it in such full, clear, concise and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claims 6-8, 11-16, 20-23 are rejected under 35 U.S.C. 112, first paragraph, as containing subject matter which was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention.

The specification asserts that the claimed compounds are antagonists of VLA-4 or $\alpha_4\beta_7$. However, no evidence has been provided that this is the case. As stated in *Ex parte Forman* (230 USPQ 546, 1986) and *In re Wands* (8 USPQ2d 1400, Fed. Cir., 1988) the factors to consider in evaluating the need (or absence of need) for "undue experimentation" are the following: quantity of experimentation necessary, amount of direction or guidance presented, presence or absence of working examples, nature of the invention, state of the prior art, relative skill of those in that art, predictability or unpredictability of the art, and

breadth of the claims. As is evident to the skilled biochemist, structure/activity relationships are "unpredictable". Consider the following:

- Dutta (*Journal of Peptide Science* 6, 321-341, 2000) has examined the efficacy of various peptides in the antagonism of VLA-4/VCAM-1 binding. As stated on page 329, col 2, last two lines, the following two compounds were inactive both *in vitro* and *in vivo*:



These peptides are minor variations of peptides that were active.

- Arrhenius (*USP 5,688,913*) discloses (cols 17-18) several examples of compounds which failed to antagonize VLA-4. These compounds are minor variations of other compounds that were potent antagonists of VLA-4.
- Komoriya, Akira (*J. Biol. Chem.* 266 (23), 15075-15079, 1991) discloses that in an assay of $\alpha_4\beta_1$ activity, the pentapeptide EILEV was active, but pentapeptide EILDV was not. This latter peptide differs from the former by just one methylene unit.
- Haworth, Duncan (*Br. J. Pharmacol.* 126(8), 1751-1760, 1999) discloses various VLA-4 antagonists. At least one of the disclosed compounds was inactive; this compound differed by only a few methylene units from a compound that was active.
- Haubner (*J. Am. Chem. Soc.* 118, 7881, 1996) discloses (table 2) two compounds which failed to inhibit fibrinogen binding to the $\alpha_{IIb}\beta_1$ receptor, and vitronectin binding to the $\alpha_v\beta_3$ receptor. The reference also discloses (p. 7882, col 2) that replacement of glycine with alanine in RGD results in a "drastic loss" of activity. These data argue for "unpredictability" in structure activity relationships of integrins generally. In addition, the "unpredictability" in structure activity relationships of RGD-peptides has direct relevance to the claimed compounds. As disclosed in Yang Y (*European Journal of Immunology* 28 (3) 995-1004, 1998) RGD-containing peptides can bind to VLA-4. Thus, if one cannot predict structure activity relationships of RGD peptides in their binding to VLA-4, it stands to reason that such unpredictability extends to other compounds which either do bind VLA-4, or which are asserted to exhibit such an effect.
- Lin (*J Med Chem* 42 920, 1999) discloses that removal of a single amino acid

(aspartic acid) from compound 11 eliminates VLA-4 antagonistic activity.

In view of the foregoing, it is evident that VLA-4/ligand interactions are very specific, and very exacting, and above all, the structural features necessary for antagonism cannot be predicted. Accordingly, the key factor required for a finding of "undue experimentation" is firmly in place. In addition, there are no "working examples" which demonstrate that the claimed compounds can be used to antagonize VLA-4, or can be used to treat any of the disorders recited in the specification (e.g., asthma, allergies, inflammation, MS). In addition, there is no direction or guidance presented about how to use the compounds to antagonize VLA-4; there are only proposals for experiments. Thus, in view of the unpredictability of structure/activity relationships in VLA-4 antagonism, the state of the prior art, the relative skill of those in that art, and the absence of any working examples, it is evident that "undue experimentation" would be required to determine which of the compounds can be used to antagonize VLA-4 or $\alpha_4\beta_7$, or to treat a given disease. At the present time, there is no appropriate *in vitro* data. It is suggested that the term "pharmaceutical" be deleted from claim 20.

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Claim 22 is rejected under 35 U.S.C. §112 second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the

invention.

In claim 22, the 14th compound listed ends with: "norleucine];". This "right-hand" bracket, however, is unmatched (by a left hand bracket).

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No claim is allowed.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to David Lukton whose telephone number is 703-308-3213. The examiner can normally be reached Monday-Friday from 9:30 to 6:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Christopher Low, can be reached at (703) 308-2923. The fax number for the organization where this application or proceeding is assigned is 703-872-9306.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-308-0196.

D. Lukton 6/11/03

Christopher S. F. Low

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